

**GS-11** 

# GREEN SEAL® STANDARD FOR PAINTS, COATINGS, STAINS, AND SEALERS

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## GREEN SEAL®

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# GREEN SEAL STANDARD FOR PAINTS, COATINGS, STAINS, & SEALERS, GS-11

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#### **FOREWORD**

**Edition.** Edition 3.2 was issued on October 26, 2015. It replaces Edition 3.1 of GS-11 and Edition 1.1 of GS-47, both from July 12, 2013. Corrections and/or clarifications were last made to this edition on April 30, 2021. Information on changes made to this standard can be found on Green Seal's website.<sup>1</sup>

**General.** The final issued standard was developed in an open and transparent process with stakeholder input that included producers, users, and general interests.

The requirements in the standard are based on an assessment of the environmental, health, or social impacts associated with the products covered in the scope of the standard. These requirements are subject to revision, and generally cover aspects above and beyond regulatory compliance. This standard neither modifies nor supersedes laws and regulations. Any conformity assessment to this standard requires compliance with all applicable laws and regulations for the manufacturing and marketing of the products.

Provisions for safety have not been included in this standard, since they are overseen by regulatory agencies. Adequate safeguards for personnel and property should be employed for all stages of production, and for all tests that involve safety considerations.

Products that are substantially similar to those covered by this standard in terms of function and life cycle considerations may be evaluated against the intent of the requirements of this standard, accounting for relevant differences between the intended scope of the standard and the actual product to be evaluated.

This standard may not anticipate a feature of the product that may significantly, and undesirably, increase its impact on the environment, health, or society. In such a situation, Green Seal will ordinarily amend a standard to account for the unanticipated environmental, health, or societal impacts.

Normative references (e.g., other standards) in this standard intend to refer to the most recent edition of the normative reference. Test methods may be required for product evaluation. Unless explicitly stated that a specified method is the only acceptable one, the intent of the standard is that an equivalent test method may be accepted at Green Seal's sole discretion.

Certification to this standard shall be awarded only by Green Seal, or, with Green Seal's explicit written permission, by a third-party certification program conducting on-site audits.

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<sup>&</sup>lt;sup>1</sup> Library of Standard Revisions, www.greenseal.org/green-seal-standards/library#section5

#### ACRONYMS AND ABBREVIATIONS

ANSI. American National Standards Institute

**ASTM.** ASTM International, a standard-setting organization formerly known as the American Society for Testing and Materials

BHMA. Builders Hardware Manufacturers Association

CARB. California Air Resources Board

**CFR.** Code of Federal Regulations

**DFT.** Dry film thickness

**EPA.** United States Environmental Protection Agency

GHS. Globally Harmonized System of Classification and Labeling of Chemicals

ISO. International Organization for Standardization

ppm. Parts Per Million

SCAQMD. South Coast Air Quality Management District

**SCM 2007.** Suggested Control Measures (set by CARB in 2007)

**UN.** United Nations

UV. Ultra Violet

VOC. Volatile Organic Compound

# GREEN SEAL STANDARD FOR PAINTS, COATINGS, STAINS, AND SEALERS, GS-11

#### 1.0 SCOPE

This standard establishes environmental, health, and performance requirements for certain *architectural coatings* that are intended to be applied on-site, and for *stains*, *finishes*, and *sealers*.

The standard covers the following product categories for interior and exterior architectural use: wall and ceiling coatings, including paints and reflective wall coatings; anti-corrosive coatings, including rust-preventative coatings; floor paints; primers (undercoats); stains; finishes; and sealers, including concrete and masonry sealers (both penetrating and film-forming products) for interior and exterior use and basement specialty coatings for interior use. The standard also covers floor coatings intended for general purposes in commercial and residential settings,<sup>2</sup> as well as fire resistive coatings, including intumescent coatings for interior architectural use; and reflective roof coatings for exterior architectural use.

The standard includes products intended to be applied to wallboard, tile, metal, wood, composite wood, concrete, stone, masonry, and terrazzo substrates, as well as other architectural substrates. Also included are *stains*, *finishes*, and *sealers* generally applied to non-architectural metal and wood substrates.

All product categories may be clear, transparent, or opaque.

The standard does not include recycled (consolidated or reprocessed) latex *paint*, floor finishes/polishes intended to be stripped and reapplied periodically, specialty non-architectural *coatings* (e.g., coatings for industrial equipment, marine or automotive use), products sold in aerosol cans or anti-graffiti coatings.<sup>3</sup> The standard is not intended to define leadership criteria for *industrial maintenance coatings*, intended for resistance in challenging environments, such as acid/base/corrosive surroundings or extreme temperatures. However, products that are labeled as *industrial maintenance coatings* may be certified to this standard if they meet all the criteria for the product category whose function most closely aligns with them.

See Appendix 1 for a sample list of products that are or are not included in this standard.

Words and phrases described in the standard that appear in *italics* have a corresponding definition located in the definition section of the standard, Annex A.

<sup>&</sup>lt;sup>2</sup> i.e., floor coatings that are not intended for resistance in challenging environments, such as acid/base/corrosive surroundings or extreme temperatures. General purposes may include shopping centers, airport lobbies, grocery stores, office buildings, homes, and garages.

<sup>&</sup>lt;sup>3</sup> Green Seal has not conducted a comprehensive review of the chemistry, health and environmental effects, and performance of anti-graffiti coatings. These product categories may be addressed in a future revision of this standard.

# 2.0 PRODUCT-SPECIFIC PERFORMANCE REQUIREMENTS

All criteria apply to the product produced by the manufacturer for all of the labeled and marketed uses that apply, and do not include additives introduced at the point-of-sale.

# 2.1 Wall and Ceiling Coatings for Interior Use

# 2.1.1 General Requirements

#### **2.1.1.1** Adhesion

- Products intended to be applied on concrete shall demonstrate 200 psi failure in the concrete, as determined by ASTM D7234, with concrete samples prepared according to ASTM F710 or SSPC SP-13.
- Products not intended to be applied on concrete shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.
- 2.1.1.2 Applicability (Flow and Leveling) shall be demonstrated by either
- a minimum 6 rating for foaming, leveling, and spatter resistance as determined by ASTM D7073.

OR

- a 12-14 minimum drawdown as tested by ASTM D4400.
- **2.1.2 Interior Topcoats** shall also meet the following requirements:
  - **2.1.2.1 Scrubbability (Abrasion Resistance).** Using a shim, the product shall demonstrate 400 scrub cycles before failure per Leneta Calibration Scrub Panel Form P121-C, as determined by ASTM D2486.
  - **2.1.2.2 Washability (Stain Removal).** The product shall demonstrate the following minimum requirements for stain removal, as determined by ASTM D4828.

| Flat Topcoat     | 5 minimum rating |
|------------------|------------------|
| Non-Flat Topcoat | 7 minimum rating |

- **2.1.3 Hiding Power (Opacity).** Products intended to be opaque shall also demonstrate a minimum 0.95 contrast ratio at 400 square feet per gallon, as determined by ASTM D2805. Compliance will be determined on dried film of the un-tinted product having a minimum 80% reflectance.
- **2.1.4 Impact Resistance.** Products intended to provide impact resistance shall demonstrate impact resistance that is equivalent to or better than that of a benchmark product in its product class when tested according to ASTM D2794.

# 2.2 Wall and Ceiling Coatings for Exterior Use

# 2.2.1 General Requirements

#### **2.2.1.1** Adhesion

- Products intended to be applied on concrete shall demonstrate 200 psi failure in the concrete, as determined by ASTM D7234, with concrete samples prepared according to ASTM F710 or SSPC SP-13.
- Products not intended to be applied on concrete shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.

# 2.2.1.2 Applicability (Flow and Leveling) shall be demonstrated by either

• a minimum 6 rating for foaming, leveling, and spatter resistance, as determined by ASTM D7073.

OR

- a 12-14 minimum drawdown, as tested by ASTM D4400.
- **2.2.2 Exterior Topcoats** shall also meet the following requirements:
  - **2.2.2.1 Fade Resistance.** Using 4 oz of red iron oxide pigment per gallon of product, the product shall demonstrate a minimum durability total color change of  $\Delta E < 5$  over 1000 hours using QUV-A bulbs with a moisture and/or condensation cycle, following the guidelines in ASTM G151.
  - **2.2.2.2 Flexibility.** The product shall show no signs of cracking, peeling, or loss of adhesion, as determined by ASTM D522 under the following cure conditions: 3 days air dry followed by 1 week at 50°C.
  - **2.2.2.3 Water Resistance.** The product shall show no signs of washing off, lifting, or wrinkling, as tested by ASTM D1735.
- **2.2.3 Hiding Power (Opacity).** Products intended to be opaque shall also demonstrate a minimum 0.95 contrast ratio at 400 square feet per gallon, as determined by ASTM D2805. Compliance will be determined on dried film of the un-tinted product having a minimum 80% reflectance.

## 2.3 Floor Paints

# 2.3.1 General Requirements

#### **2.3.1.1** Adhesion

• Products intended to be applied on concrete shall demonstrate 200 psi failure in the concrete, as determined by ASTM D7234, with concrete samples prepared according to ASTM F710 or SSPC SP-13.

- Products not intended to be applied on concrete shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.
- **2.3.1.2 Applicability (Flow and Leveling)** shall be demonstrated by a minimum 7 rating, as determined by ASTM D4062.
- **2.3.1.3 Dry Film Thickness.** The product shall have a dry film thickness of 10 mils (0.25mm) or less.
- **2.3.1.4 Alkali Resistance.** The product shall show no signs of lifting, wrinkling, disintegration, or more than a slight color change after 16 hours exposure to 0.5N sodium hydroxide solution by spot test, as determined by ASTM D1308.
- **2.3.1.5 Scrubbability** (**Abrasion Resistance**). Using a C-17 wheel and 500 gram weight, the product shall demonstrate a wear index of 200 or less, as determined by ASTM D4060.
- **2.3.2** Exterior Topcoats shall also meet the following requirements:
  - **2.3.2.1 Fade Resistance.** Using 4 oz of red iron oxide pigment per gallon of product, the product shall demonstrate a minimum durability total color change of  $\Delta E < 5$  over 1000 hours using QUV-A bulbs with a moisture and/or condensation cycle, following the guidelines in ASTM G151.
  - **2.3.2.2 Flexibility.** The product shall show no signs of cracking, peeling, or loss of adhesion, as determined by ASTM D522 under the following cure conditions: 3 days air dry followed by 1 week at 50°C.
  - **2.3.2.3 Water Resistance.** The product shall show no signs of washing off, lifting, or wrinkling, as tested by ASTM D1735.
- **2.3.3 Hiding Power (Opacity).** Products intended to be opaque shall also demonstrate a minimum 0.95 contrast ratio at 400 square feet per gallon, as determined by ASTM D2805. Compliance will be determined on dried film of the un-tinted product having a minimum 80% reflectance.
- **2.4 Floor Coatings.** For testing purposes, the dry-film thickness of the product and curing duration shall be consistent with the manufacturer-recommended application.
  - **2.4.1 Adhesion.** The product shall demonstrate dry pull-off adhesion of at least 400 psi, as determined by ASTM D7234, with concrete samples prepared according to ASTM F710 or SSPC SP-13.
  - **2.4.2 Abrasion Resistance.** Using a CS-17 wheel, 1000 gram weight, and 1000 cycles, the product shall have a weight loss of 100 mg or less, as determined by ASTM D4060.

- **2.4.3 Slip Resistance.** The product shall have a dry static coefficient of friction of at least 0.5, as measured by either ASTM D2047 or UL 410.
- **2.4.4 Water and Salt Water Resistance.** The product shall show no signs of lifting, wrinkling, disintegration, or color change after 7 days of exposure to water when tested according to ASTM D1308. Products that will be subject to vehicular traffic shall also show no signs of lifting, wrinkling, disintegration, or color change after 7 days of exposure to a 15% sodium chloride solution when tested according to ASTM D1308.
- **2.4.5 Chemical Resistance.** The product shall demonstrate chemical resistance that is equivalent to or better than that of a *benchmark product* in its *product class* for the majority of tested chemicals. Testing shall be conducted according to ASTM D1308 with a 16-hour exposure period. Testing shall include a minimum of 7 representative chemicals covering at least 3 of the following classes: detergents, acids, alkalis, alcohols, and aliphatic solvents. The selection of test chemicals shall be based on the marketed uses of the product.
- **2.4.6 Hot Tire Resistance.** Products that will be subject to tire traffic shall demonstrate hot tire resistance with no loss of adhesion at a temperature of 140° F and a force that is representative of the product's marketed use. Testing shall be conducted using an objective, scientifically-validated method conducted under controlled and reproducible laboratory conditions. Test methodology and results shall be documented in sufficient detail.<sup>4</sup>

# 2.5 Anti-Corrosive Coatings<sup>5</sup>

- **2.5.1** Adhesion. The product shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.
- **2.5.2** Applicability (Flow and Leveling) shall be demonstrated by either
- a minimum 6 rating for foaming, leveling, and spatter resistance, as determined by ASTM D7073.

OR

- a 12-14 minimum drawdown, as tested by ASTM D4400.
- **2.5.3 Corrosion Resistance.** Using manufacturer-recommended minimum dry film thickness (DFT) and application to hot rolled steel panels, the product shall have a minimum rust rating of 9 per SSPC-VIS 2 after 300 hours of exposure, as determined by ASTM D5894.

### 2.6 Non-Elastomeric Reflective Wall Coatings

<sup>&</sup>lt;sup>4</sup> Test methodology should typically include use of a tire material sample. Tire pressure should be representative of the intended application for the floor coating (e.g., 50 to 150 psi) based on typical loads.

<sup>&</sup>lt;sup>5</sup> These include rust-preventative coatings.

<sup>&</sup>lt;sup>6</sup> The hot rolled steel test panels should adhere to Society for Protective Coatings (SSPC) Paint 23 or Paint 24 specifications. If there is no recommended film thickness, then the DFT of each coat shall be 60 to 90 micrometers (2.5 to 3.5 mils).

## 2.6.1 Adhesion

- Products intended to be applied on concrete shall demonstrate 200 psi failure in the concrete, as determined by ASTM D7234.
- Products not intended to be applied on concrete shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.
- **2.6.2** Applicability (Flow and Leveling) shall be demonstrated by either
- a minimum 6 rating for foaming, leveling, and spatter resistance, as determined by ASTM D7073.

OR

- a 12-14 minimum drawdown, as tested by ASTM D4400.
- **2.6.3** Accelerated Weathering. The product shall show no signs of blistering, chalking, checking, cracking, flaking, or loss of adhesion with a maximum change of 10 gloss level units after 500 hours using QUV-A bulb, as measured by ASTM D714.
- **2.6.4 Flexibility.** The product shall show no signs of cracking, peeling, or loss of adhesion, as determined by ASTM D522 under the following cure conditions: 3 days air dry followed by 1 week at 50°C.
- **2.6.5 Solar Reflectance.** The product shall meet the requirements in the following table, as determined by ASTM C1549 or ASTM E1918.

| Characteristic    | Performance Specification |            |
|-------------------|---------------------------|------------|
|                   | Light Tones <sup>7</sup>  | Dark Tones |
| Solar Reflectance | ≥ 0.65                    | ≥ 0.40     |

- **2.6.6** Thermal Emittance. The product shall have a thermal emittance of 75% or more, as determined by ASTM C1371.
- **2.6.7 Hiding Power (Opacity).** Products intended to be opaque shall also demonstrate a minimum 0.95 contrast ratio at 400 square feet per gallon, as determined by ASTM D2805. Compliance will be determined on dried film of the untinted product having a minimum 80% reflectance.

# 2.7 Elastomeric Reflective Wall Coatings

**2.7.1 Dry Film Thickness.** The product shall have a dry-film thickness of at least 17 mils.

<sup>7</sup> Light tones are characterized by the following six color families, as defined by the Cool Roof Rating Council (CRRC): Beige/Off-White, Tan, White, Bright White, Pearlescent Silver, and Pearlescent Copper. Dark tones are

the remaining twelve color families and include reds, blues, browns, greens, and black/grays.

- **2.7.2** Accelerated Weathering. The product shall show no signs of cracking or checking after 1000 hours, as determined by ASTM G155.
- **2.7.3 Elongation and Tensile Strength.** The product shall show minimum 100% elongation and minimum 200 psi tensile strength, as determined by ASTM D2370.
- **2.7.4 Flexibility.** The product shall demonstrate 0.5 mandrel bend at -15°F, as determined by ASTM D522 with cure conditions of 3 days air dry followed by 1 week at 50°C.
- **2.7.5 Fungi Resistance.** The product shall show zero rating, according to ASTM G21.
- **2.7.6 Solar Reflectance.** The product shall meet the requirements in the following table, as determined by ASTM C1549 or ASTM E1918.

| Characteristic    | Performance Specification |            |
|-------------------|---------------------------|------------|
|                   | Light Tones <sup>8</sup>  | Dark Tones |
| Solar Reflectance | ≥ 0.65                    | ≥ 0.40     |

**2.7.7 Thermal Emittance.** The product shall have a thermal emittance of 75% or more, as determined by ASTM C1371.

# 2.8 Reflective Roof Coatings

- **2.8.1 Physical Properties.** The product shall meet the requirements in ASTM D6083.
- **2.8.2 Solar Reflectance.** The product shall meet the requirements in the following table, as determined by ASTM C1549 or ASTM E1918.

| Characteristic                   | Performance Specification <sup>9</sup>                                   |  |
|----------------------------------|--|--|
|                                  | <b>Low-Slope Roofs</b>   | Steep-Slope Roofs  |
| Initial Solar Reflectance        | ≥ 0.65   | ≥ 0.25   |
| Maintenance of Solar Reflectance | ≥ 0.50<br>(three years after<br>installation under<br>normal conditions) | ≥ 0.15<br>(three years after<br>installation under<br>normal conditions) |

<sup>&</sup>lt;sup>8</sup> Light tones are characterized by the following six color families as defined by the Cool Roof Rating Council (CRRC): Beige/Off-White, Tan, White, Bright White, Pearlescent Silver and Pearlescent Copper. Dark tones are the remaining twelve color families and include reds, blues, browns, greens and black/grays.

<sup>&</sup>lt;sup>9</sup> Low-slope roofs are surfaces with a slope of 2:12 inches or less and Steep-slope roofs are surfaces with a slope of greater than 2:12 inches as determined by ASTM E1918.

**2.8.3 Thermal Emittance.** The product shall have a thermal emittance of 80% or more, as determined by ASTM C1371.

# 2.9 Fire Resistive and Intumescent Coatings

- **2.9.1 Adhesion.** The product shall demonstrate a minimum of 50% or better rating for wet and dry adhesion over the intended substrate, as determined by ASTM D3359.
- **2.9.2** Applicability (Flow and Leveling) shall be demonstrated by either
- a minimum 6 rating for foaming, leveling, and spatter resistance, as determined by ASTM D7073.

OR

- a 12-14 minimum drawdown, as tested by ASTM D4400.
- **2.9.3** Fire Resistance.<sup>10</sup> The product shall demonstrate a fire resistance rating that is consistent with the labeling, <sup>11</sup> as determined by ASTM Designation E119.
- **2.9.4 Flame Spread and Smoke Development.** The product shall demonstrate a Flame Spread Index of 0 25 (Class A) and a Smoke Development Index of 0-450 (Class A), based on the Life Safety Code (NFPA 101)[5] and Section 803.1 of the International Building Code, as determined using ASTM E84.

# 2.10 Concrete/Masonry Sealers

**2.10.1 General Requirements.** Except for *basement specialty coatings*, the product shall be tested for each performance parameter in this section that is included on the product labeling or marketing. Each test shall demonstrate that the product performs as well or better than a *benchmark product* in its *product class*.

For purposes of the test, the curing duration of the *concrete/masonry sealer* shall be similar to that of the *benchmark product*, and for *film forming* products, the dry-film thickness of the *concrete/masonry sealer* shall be similar to that of the *benchmark product*. Both shall be representative of the manufacturer-recommended application. Testing shall be performed according to the following standard test methods or equivalent test methods:

- **2.10.1.1** Water Resistance. ASTM C67, ASTM C97, or ASTM C140
- **2.10.1.2 Fungi Resistance.** ASTM D3273 or ASTM D3274
- 2.10.1.3 Abrasion Resistance /Hardening of Cured Concrete. ASTM D4060
- 2.10.1.4 Alkali Resistance. ASTM D1308
- **2.10.1.5 Acid Resistance.** ASTM D1308
- **2.10.1.6 Staining Resistance.** ASTM D1308
- **2.10.1.7 UV Light Resistance.** ASTM G151<sup>12</sup>

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<sup>&</sup>lt;sup>10</sup> Fire Resistive coatings and the agencies that test them must be approved by building code officials.

<sup>&</sup>lt;sup>11</sup> E.g., 1-hour, 2-hour, or 4-hour.

<sup>&</sup>lt;sup>12</sup> Suggested test parameters include 1000 hours using QUV-A bulbs with a moisture and/or condensation cycle, unless otherwise appropriate for the product.

## **2.10.1.8** Water Vapor Transmission. ASTM E96/E96M

- **2.10.2 Basement Specialty Coatings** shall meet the following performance requirements, and demonstrate any of the additional parameters (2.10.1.3 2.10-1.8) included on the product labeling or marketing:
  - **2.10.2.1 Water Resistance.** The product must be capable of withstanding at least 10 psi of hydrostatic pressure, as determined according to ASTM D7088.
  - **2.10.2.2 Fungi Resistance.** The product must be resistant to mold and mildew growth and must achieve a microbial growth rating of 8 or more, as determined according to ASTM D3273 and ASTM D3274.

## 2.11 Wood Stains for Interior Use

- **2.11.1 Blush resistance.** When prepared and tested on a 1 mil thick dry film according to ASTM D1735 for 2 hours, the product shall have a rating of 8 as per ASTM STP500 after a 24-hour recovery period.
- **2.11.2** Chemical Resistance. When tested according to ASTM D1308 using the covered spot test for one-hour exposure over the intended substrate, and after a one-hour recovery period, the product shall demonstrate a rating of 8 as per ASTM STP500.

#### 2.12 Wood Stains for Exterior Use

#### 2.12.1 Exterior Penetrating Stains

**2.12.1.1 Blush resistance.** When prepared and tested on a 1 mil thick dry film according to ASTM D1735 for 2 hours, the product shall have a rating of 8 as per ASTM STP500 after a 24-hour recovery period.

## 2.12.2 Exterior Film-Forming Stains

- **2.12.2.1 Blush Resistance**. When prepared and tested on a 1 mil thick dry film according to ASTM D1735 for 2 hours, the product shall have a rating of 7 as per ASTM STP500 after a 24-hour recovery period.
- **2.12.2.2 Pencil Hardness.** When prepared and tested on a 1 mil thick dry film according to ASTM D3363, the product shall have a pencil hardness of 2H or greater.
- **2.12.2.3 Adhesion.** The product shall have an adhesion of 3B or higher after 7 days cure time when tested according to ASTM D3359 on a dried film of 0.5 to 1 mil thickness.

# 2.13 Wood Finishes for Interior Use

- **2.13.1 Pencil Hardness.** When prepared and tested on a 1 mil thick dry film according to ASTM D 3363 92a, the product shall have a pencil hardness of 2H or greater.
- **2.13.2 Chemical Resistance.** When tested according to ASTM D1308 for 1 hour with the covered spot test and 1 hour recovery period over the intended substrate, the product shall demonstrate a rating of 8 as per ASTM STP500.
- **2.13.3 Adhesion.** The product shall have an adhesion of 4B or higher after 7 days cure time when tested according to ASTM D3359 on a dried film of 0.5 to 1 mil thickness.
- **2.13.4 Water Resistance.** If intended as a *waterproofing sealer*, the product shall show a minimum of 60% water repellent efficiency when tested according to ASTM D4446.

## 2.14 Wood Finishes for Exterior Use

- **2.14.1 Pencil Hardness.** When prepared and tested on a 1 mil thick dry film according to ASTM D3363, the product shall have a pencil hardness of 2H or greater.
- **2.14.2 Dry Time.** When tested according to ASTM D1640, the product shall have a maximum dry-to-touch time of 4 hours.
- **2.14.3 Adhesion.** The product shall have an adhesion of 4B or higher after 7 days cure time when tested according to ASTM D3359 on a dried film of 0.5 to 1 mil thickness.
- **2.14.4 Blister Resistance.** The product shall have a rating of 10 as per ASTM D 714 when tested according to ASTM D4585 for 24 hours at 100°F.
- **2.14.5** Water Resistance. If intended as a *waterproofing sealer*, the product shall show a minimum of 60% water repellent efficiency when tested according to ASTM D4446.

# 2.15 Interior Clear Metal Lacquers

- **2.15.1 Chemical Resistance.** When tested according to ASTM D1308 for 1 hour with the covered spot test and 1 hour recovery period over the intended substrate, the product shall demonstrate a rating of 8 as per ASTM STP500.
- **2.15.2** Adhesion. The product shall have an adhesion of 4B or higher after 7 days cure time when tested according to ASTM D3359 on a dried film of 0.5 to 1 mil thickness.
- **2.15.3 Surface Hardness.** The product shall have a minimum surface hardness of 3H or higher when tested according to ASTM D3363 (7.1.1) on a dried film of 1/3 to 1 mil thickness.
- **2.15.4 Moisture Resistance.** The product shall have a minimum moisture resistance of a minimum of 48 hours when tested according to ASTM D2247.

- **2.15.5** Salt Spray Resistance. The product shall have a minimum salt spray resistance of 24 hours when tested according to ASTM B117.
- **2.15.6 Wear Resistance.** The wear resistance shall be 8 liters or higher when tested according to ASTM D968, applying the product according to ASTM D823 with silica, and on a 1/3 to 1 mil dry film thickness measured according to ASTM D1005, ASTM D1186, or ASTM D1400.
- **2.15.7 Reversibility.** When tested according to ASTM D4752 with a maximum of 20 double rubs for complete removal on a 1/3 to 1 mil dry film, the product must be able to be removed by nothing stronger than acetone after an air dry of 72 hours.
- **2.15.8 Perspiration Resistance.** The product shall have a minimum of 2 cycles when tested according to ANSI/ BHMA A156.18.

# 2.16 Exterior Clear Metal Lacquers

- **2.16.1** Adhesion. The product shall have an adhesion of 4B or higher after 7 days cure time when tested according to ASTM D3359 on a dried film of 0.5 to 1 mil thickness.
- **2.16.2 Surface Hardness.** The product shall have a minimum surface hardness of 3H or higher when tested according to ASTM D3363 (7.1.1) on a dried film of 1/3 to 1 mil thickness.
- **2.16.3 Moisture Resistance.** The product shall have a moisture resistance of a minimum of 96 hours (4 days) when tested according to ASTM D2247.
- **2.16.4 Chemical Resistance.** The product shall demonstrate a rating of 10 when tested in accordance to ASTM D-1308; 3-1-2; 6-1-7 for a minimum 15 minutes.
- **2.16.5** Salt Spray Resistance. The product shall have a minimum salt spray resistance of 96 hours (4 days) when tested in accordance to ASTM B117.
- **2.16.6 Wear Resistance.** The wear resistance shall be 4 liters or higher when tested according to ASTM D968, applying the product according to ASTM D823 with silica, and on a 1/3 to 1 mil dry film thickness measured according to ASTM D1005, ASTM D1186, or ASTM D1400.
- **2.16.7 Reversibility.** When tested according to ASTM D4752 with a maximum of 20 double rubs for complete removal on a 1/3 to 1 mil dry film, the product must be able to be removed by nothing stronger than acetone after an air dry of 72 hours.
- **2.16.8 UV Resistance.** The product shall have an ultra violet (UV) resistance of a minimum of 144 hours when tested with ASTM G154. Test specimen must be prepared and exposed according to ASTM G151.

**2.17 Alternative Performance Requirements.** Alternatively, the product shall demonstrate that it performs as well as or better than a *benchmark product* in its *product class* for the key parameters required for it to fulfill the intended function(s), as defined in the appropriate subsections of Section 2.0.

This comparison shall be conducted using an objective, scientifically-validated method conducted under controlled and reproducible laboratory conditions. Test methodology and results shall be documented in sufficient detail.

# 3.0 PRODUCT-SPECIFIC HEALTH AND ENVIRONMENTAL REQUIREMENTS

All requirements pertain to the product produced by the manufacturer and do not include additives introduced at the point-of-sale, unless otherwise specified.

**3.1 Carcinogens, Mutagens, and Reproductive Toxins.** The product shall not contain any *ingredients* that are *carcinogens*, *mutagens*, or *reproductive toxins*.

**Exemption:** An exception shall be made for titanium dioxide and, for products that are pretinted by the manufacturer, carbon black. As allowed under this exception, carbon black shall be less than or equal to 1% by weight of the product.<sup>13</sup>

**Exemption:** Free crystalline silica<sup>14</sup> shall not be *intentionally added* to the product as an *ingredient*. Crystalline silica present as a naturally-occurring contaminant in mineral-based raw materials<sup>15</sup> is not included in this prohibition.

Naturally occurring elements and chlorinated organics, which may be present as a result of chlorination of the water supply, are not considered *ingredients* if the concentrations are below the applicable maximum contaminant levels in the National Primary Drinking Water Standards found in 40 CFR, Part 141.

**3.2 Prohibited Ingredients.** The product shall not contain the following *ingredients*:

- 1,2-dichlorobenzene
- Alkylphenol ethoxylates
- Formaldehyde donors
- *Hazardous air pollutants*
- Halogenated solvents
- Ozone-depleting compounds
- Heavy metals: lead, mercury, cadmium, hexavalent chromium, and antimony in the elemental form or compounds
- The phthalate esters:
  - o di (2-ethylhexyl) phthalate

<sup>&</sup>lt;sup>13</sup> Titanium Dioxide: EC Number 236-675-5, CAS Number 13463-67-7

Carbon Black: EC Number 215-609-9, CAS Number 1333-86-4

<sup>&</sup>lt;sup>14</sup> Currently listed as a known human carcinogen when respired.

<sup>&</sup>lt;sup>15</sup> e.g., mined extender pigments, calcium carbonate, diatomaceous earth, or other mineral-based raw materials.

- o butyl benzyl phthalate
- o di-n-butyl phthalate
- o di-n-octyl phthalate
- o diethyl phthalate
- o dimethyl phthalate
- Triphenyl tins and tributyl tins
- Triclosan

**Exemption:** For the following product categories, cobalt and manganese are allowed at levels that do not exceed 0.06% (as total metal) in the product: wood stains, wood finishes, and clear metal lacquers (Sections 2.11-2.16 in this standard).

**Exemption:** For *lacquers* intended for metal substrates only, PCBTF (Parachlorobenzotrifluoride, CAS# 98-56-6), a halogenated solvent, is allowed at levels that do not exceed 10% by weight in the product.

**3.3 Volatile Aromatic Hydrocarbons.** The product shall contain no more than 0.5% by weight of sum total of volatile aromatic hydrocarbons. <sup>16</sup>

**3.4 Volatile Organic Compounds (VOCs).**<sup>17</sup> The *VOC* content of the product shall not exceed the current content limits for its product category as set by CARB Suggested Control Measure for Architectural Coatings (2007), <sup>18</sup> unless specified otherwise in this standard.

- Floor paints shall meet the VOC limits established by CARB for floor coatings.
- *Anti-corrosive coatings* shall meet the *VOC* limits established by CARB for rust preventative coatings.
- *Intumescent coatings* shall meet the *VOC* limits established by CARB for *fire resistive coatings*.
- Sealers and waterproofing sealers labeled for use on wood or metal substrates shall meet the *VOC* limits established by CARB for wood coatings.

<sup>16</sup> Testing for the concentration of these compounds will be performed if they are determined to be present in the product during a materials audit.

<sup>&</sup>lt;sup>17</sup> Note that this standard neither modifies nor supersedes laws and regulations, and requires compliance with all applicable laws and regulations for the manufacturing and marketing of the products. Specifically, VOC limits in the **South Coast Air Quality Management District of California** may be lower than those specified in this standard.

<sup>18</sup> Appendix 2 lists the *VOC* limits specified in "California Air Resources Board Suggested Control Measure for Architectural Coatings (2007)." This informative appendix will be updated to reflect any amendments to the VOC limits made by CARB in the future. <a href="http://www.arb.ca.gov/coatings/arch/docs.htm">http://www.arb.ca.gov/coatings/arch/docs.htm</a>.

**Exception:** For the following product types, the VOC limits listed in the table below will be used instead of the applicable CARB limits:

| Product Type            | VOC level<br>(g/L) |
|-------------------------|--------------------|
| Reflective Wall Coating | 50                 |
| Reflective Roof Coating | 100                |
| Varnishes               | 350                |
| Conjugated Oil Varnish  | 450                |
| Lacquer                 | 550                |
| Clear Brushing Lacquer  | 680                |

**Exception:** For *low-solids coatings*, the CARB VOC limit for *low-solids coatings* shall apply, instead of the VOC limit that would otherwise apply for the product category (as mandated by CARB).<sup>19</sup>

**Exception:** Products labeled as *industrial maintenance coatings* shall meet the *VOC* limits for their relevant product category.<sup>20</sup>

**Exception:** Products sold in containers equal to or smaller than 1 liter are not exempted from the *VOC* content limit for their product category (even though exempted by CARB).<sup>21</sup>

For other product categories not regulated by CARB, the VOC level shall not exceed a limit set by CARB for a similar product category.

## 3.4.1 Calculation of VOC Content.

**Coating VOC:** For all product categories except *Low-Solids Coatings*, the *VOC* content of the product shall be calculated according to "VOC Regulatory". <sup>22</sup>

VOC Regulatory = 
$$(Ws - Ww - Wec)$$
  
 $(Vm - Vw - Vec)$ 

Where:

VOC Regulatory = grams of VOC per liter of coating, less water and exempt compounds (also known as "Coating VOC")

Ws = weight of volatiles, in grams

Ww = weight of water, in grams

Wec = weight of exempt compounds, in grams

<sup>&</sup>lt;sup>19</sup> Note that *Low-Solids Coatings* have a separate VOC limit, and also that their *VOC* content is calculated differently.

i.e., they will not be allowed to meet the higher *VOC* limits set by CARB for *industrial maintenance coatings*. This standard is not intended to establish leadership criteria for *industrial maintenance coatings* per se (See Section 1.0)

<sup>&</sup>lt;sup>21</sup> CARB currently grants an exemption from *VOC* limits to products sold in containers equal to or smaller than 1 liter (known as the Small Container Exemption).

<sup>&</sup>lt;sup>22</sup> as defined in SCM, 2007, Subsection 4.66.

Vm = volume of coating, in liters

Vw = volume of water, in liters

Vec = volume of exempt compounds, in liters

**VOCs for Low-Solids Coatings:** For *Low-Solids Coatings*, the *VOC* content of the product shall be calculated according to "VOC Actual". <sup>23</sup>

$$VOC Actual = \frac{(Ws - Ww - Wec)}{(Vm)}$$

Where:

VOC Actual = grams of VOC per liter of coating (also known as "Material VOC")

Ws = weight of volatiles, in grams

Ww = weight of water, in grams

Wec = weight of exempt compounds, in grams

Vm = volume of coating, in liters

Exempt compounds shall be those defined as such by the U.S. EPA.<sup>24</sup>

*VOC* content shall exclude *colorants* added at the point-of-sale, and any *VOC*s generated as a result of chemical or curing reactions on-site.

For multi-component products, *VOC* content shall be determined based on the sum of all components added together, using the appropriate calculation.

**3.4.2 Methods for Determining VOCs.** The *VOC* content shall be determined in one of the following ways for compounds present in the product at 0.01% or more:

#### • Product Formulation

By summing the percent by weight contribution from all *VOC ingredients* listed in the formulation of the product, and which have a boiling point of less than or equal to 280°C at 1 standard atmosphere (101.3 kPa).

## • Mass Difference Methods

According to EPA Method 24, ASTM D2369, SCAQMD Method 304, or ISO 11890-1 (or equivalent), modified to include all *VOC ingredients*.

# • GC/MS Methods

According to ASTM D6886, SCAQMD Method 313, or ISO 11890-2 (or equivalent), summing all those *VOC ingredients* that have a boiling point of less than or equal to 280°C at 1 standard atmosphere (101.3 kPa).

<sup>&</sup>lt;sup>23</sup> as defined in SCM, 2007, Subsection 4.64.

<sup>&</sup>lt;sup>24</sup> According to the definition of VOCs in this standard, exempt compounds are considered those listed by the EPA in 40 CFR §51.100 (s). The current version of this regulation can be found at <a href="http://www.ecfr.gov/cgi-bin/text-idx?SID=049f1f9562e072c158ad6e4a47d076a2&node=pt40.2.51&rgn=div5#se40.2.51\_1100">http://www.ecfr.gov/cgi-bin/text-idx?SID=049f1f9562e072c158ad6e4a47d076a2&node=pt40.2.51&rgn=div5#se40.2.51\_1100</a>

Another scientifically validated test method may be used if it is justified and documented in sufficient detail.

**3.5 VOC of Colorant Added at the Point-of-Sale.** The *VOC* concentration of the product including the colorant added at the point-of-sale shall not exceed 50 grams of *VOC* per liter of product above the levels allowed for the product without colorant.<sup>25</sup>

An average *VOC* level calculation for a colorant shall be applied unless a manufacturer can provide documentation of the *VOC* levels of the colorant(s) and assurance that only those colorant(s) tested shall be used with the product.<sup>26</sup>

**3.6 Nanoparticles.** Reserved. <sup>27</sup>

# 4.0 PACKAGING REQUIREMENTS

- **4.1 Packaging.** The packaging shall be one of the following:
  - contain a minimum of 20% recovered material content.
  - recyclable as part of a manufacturer's take-back program.
  - a source-reduced package.

**Exemption:** Plastic packaging containers may be exempted from the requirements of this section if they are manufactured for use in the shipment of hazardous materials and

 are prohibited from being manufactured with used material by Federal packaging material specifications set forth in CFR 49 Sections 178.509 and 178.522,

# OR

- are subject to testing standards set forth in CFR 49 Sections 178.600 to 178.609, inclusive, OR
- are addressed by recommendations of the UN on the transport of dangerous goods.

## 4.2 Material Restrictions.

- **4.2.1** Phthalates and the heavy metals lead, mercury, cadmium, and hexavalent chromium shall not be *intentionally introduced* in the packaging.
- **4.2.2** The sum of the concentrations of lead, mercury, cadmium, and hexavalent chromium in the packaging shall not exceed 100 ppm by weight (0.01%).

An exception to 4.2.1 and 4.2.2 is allowed for packages that would not contain these compounds except for the addition of *recovered material*.

<sup>&</sup>lt;sup>25</sup> VOC limits and measurement methods for the products are specified in Section 3.4.

<sup>&</sup>lt;sup>26</sup> If information is not available about the *VOC* content in the *colorants*, an average of 70 grams/liter from the *colorant* will be added to the *VOC* content of the base paint product, for each paint type (flat, non-flat, primer, etc.). <sup>27</sup> Green Seal has not conducted a comprehensive review of the chemistry, health and environmental effects, and performance of products that contain engineered nanoparticles. Product with engineered nanomaterials may be addressed in a future revision of this standard.

# 5.0 USER INFORMATION AND PRODUCT LABEL REQUIREMENTS

- **5.1 User Information.** The manufacturer shall provide information to the consumer through print, online, or other accessible media, including:
  - Instructions for purchasing the necessary amount of product needed for a specific job.
  - Instructions for adequate ventilation during application and drying.
  - Instructions on proper use of the product.
  - A statement encouraging consultation with local authorities for proper disposal or recycling opportunities for leftover product and packaging.
  - If a manufacturer provides a *take-back program*, instructions on how the product and packaging can be returned.
- **5.2 Product Label.** The manufacturer's label shall include a statement encouraging consultation with local authorities regarding proper disposal or recycling opportunities for leftover product and packaging.

The label shall include:

• instructions for appropriate purchasing, adequate ventilation during drying time, and proper use of the product

OR

• a reference to consumer education information by print, online, or other accessible media.

If the manufacturer provides a *take-back program*, the label shall include instructions on how the product and packaging can be returned.

# 6.0 TRADEMARK USE REQUIREMENTS

- **6.1 Trademark Use.** Any use of the Green Seal® Certification Mark or the Green Seal name, e.g., on the product, product label, packaging, secondary documents, or promotional materials, must be in accordance with Green Seal's Trademark Use Guidelines.<sup>28</sup>
- **6.2 Misleading Claims.** Green Seal trademarks shall not be used in conjunction with any modifying terms, phrases, or graphic images that might mislead consumers as to the extent or nature of the certification.

<sup>&</sup>lt;sup>28</sup> www.greenseal.org/trademark-use-guidelines

# **ANNEX A – DEFINITIONS (Normative)**

Note that the defined terms are italicized throughout the standard.

**Anti-Corrosive Coating**. A *coating* formulated and recommended for use in preventing the corrosion of metal substrates. Rust-preventative coatings are a subset of this class.

**Architectural Coating.** A coating applied at the site of installation to stationary structures and their accessories, to mobile homes, to pavements, or to curbs. Accessories may include bathroom and kitchen fixtures; cabinets; concrete forms; doors; elevators; fences; hand railings; heating equipment, air conditioning equipment, and other fixed mechanical equipment or stationary tools; lampposts; partitions; pipes and piping systems; rain gutters and downspouts; stairways, fixed ladders, catwalks, and fire escapes; and window screens.

**Basement Specialty Coating.** A clear, *transparent*, or opaque coating that is labeled and formulated for application to concrete and masonry surfaces to provide a hydrostatic seal for basements and other below-grade surfaces.

**Benchmark Product.** A product used for comparison in performance testing; for the purposes of this standard this is considered a national market-leading product, typically selected from the top three or four selling brands or companies for its *product class* from nation-wide data.<sup>29</sup>

Carcinogen. A chemical listed as a known, probable, reasonably anticipated, or possible human carcinogen by the International Agency for Research on Cancer (Groups 1, 2A, and 2B), the National Toxicology Program (Groups 1 and 2), the U.S. Environmental Protection Agency (EPA) Integrated Risk Information System (weight-of-evidence classifications A, B1, B2, and C, carcinogenic, likely to be carcinogenic, and suggestive evidence of carcinogenicity or carcinogen potential), or the Occupational Safety and Health Administration.

**Coating.** A material applied onto or impregnated into a substrate for decorating; protecting; identifying; filling or concealing of surface irregularities; modifying light and heat radiation characteristics; or other functional purposes.

**Colorant.** Concentrated color (dyes or pigments) that can be added to finished *products* to make specific colors. Unless otherwise specified, it is the maximum amount of colorant recommended for use by the manufacturer.

**Concrete/Masonry Sealer.** A clear, *transparent*, or opaque coating that is intended primarily for application to concrete and masonry surfaces to perform one or more of the following functions: prevent penetration of water; provide resistance against abrasion, alkalis, acids, mildew, staining, or ultraviolet light; or harden or dustproof the surface of aged or cured concrete. These products include *penetrating* and *film-forming* products for interior and exterior use and *basement specialty coatings* for interior use.

**Conjugated Oil Varnish**. A clear or *transparent* wood *coating* labeled as such, excluding *lacquers* or *shellacs*, based on a natural occurring conjugated vegetable oil (Tung Oil),

<sup>29</sup> It is recommended that manufacturers discuss their product testing with Green Seal before the testing is performed to ensure that the choice of comparison product(s) is appropriate.

determined using ASTM D2245, modified with other natural or synthetic resins; a minimum of 50% of the resin solids consisting of conjugated oil.

**Clear Brushing Lacquer**. Clear, protective *finishes* intended exclusively for application by brush, excluding clear *lacquer* sanding *sealers*. These products are typically formulated with nitrocellulose or synthetic resins to dry by solvent evaporation, providing a solid, protective film.

**Clear Metal Lacquer**. Ferrous and non-ferrous ornamental metal *lacquer* and surface protectants as classified under EPA, 40 CFR Part 59, 48848 VOL. 63, No.176 September 1998, last amended 9-99. This classification refers specifically to clear *coatings* for the protection of polished and satin metal, e.g., brass, bronze, aluminum, and stainless steel.

**Elastomeric Reflective Wall Coatings.** A *coating* that is designed and intended for the modification of light and heat radiation characteristics and has elastic properties allowing it to stretch in the summertime heat and return to its original shape without damage.<sup>30</sup>

**Exterior.** A product formulated and intended for application on outdoor surfaces. If a product is multipurpose (i.e., *interior* and exterior application), the stricter requirement applies, and the product must meet all the appropriate performance criteria.

**Finish.** A clear, *transparent*, or opaque *coating* that is intended for wood or metal substrates and forms a film that sits on or in the surface of the substrate. Includes *varnishes*, *shellacs*, *water-borne* finishes, polyurethane, and *lacquer* (including *lacquer* sanding *sealers*).

**Film-Forming.** A product that provides a solid dry film on a substrate by creating a pliable, cohesive, and continuous covering.

**Fire Resistive Coating.** A *coating* that reduces the spread of fire by increasing the fire endurance of structural materials. The Fire Resistive category includes sprayed fire resistive materials and intumescent fire resistive coatings that are used to bring structural materials into compliance with Federal, State, and local building code requirements.

**Flat**. *Coating* whose specular gloss registers less than 15 on an 85-degree meter or less than 5 on a 60-degree meter according to ASTM D523.

**Floor Paint**. *Paints* intended for floors and are applied by roller or brush. For the purposes of this standard, floor *paints* do not include epoxy or urethane flooring systems, or those that include coarse aggregates, color chips, or flakes as part of a multi-part flooring system.

**Floor Coating.** Clear, *transparent*, *or* opaque *coatings* that are intended to provide long-term durability on general-purpose flooring.<sup>31</sup> For the purposes of this standard, floor coatings do not include finishes intended for wood floors, floor care products designed to be periodically removed and reapplied, or products designed to meet the extreme environments in the definition for *Industrial Maintenance Coatings*.

**Hazardous Air Pollutant**. Any compound listed by the U.S. EPA in the Clean Air Act Section 112(b) (1) as a hazardous air pollutant.<sup>32</sup>

<sup>31</sup> e.g., concrete, masonry, tile, and terrazzo, typically found on surfaces such as hallways, lobbies, stores, garages, or steps.

<sup>&</sup>lt;sup>30</sup> From the U.S. EPA Heat Island Effect Glossary

<sup>&</sup>lt;sup>32</sup> Ethylene glycols are included on this list, as of late 2015, while propylene glycols are not.

**Industrial Maintenance Coatings.** High performance coatings designed to meet extreme conditions, such as immersion in water, exposure to corrosive chemicals, temperatures above 121°C, frequent heavy abrasion, or exterior exposure of metal structural components.<sup>33</sup>

**Ingredient**. Any constituent of a *product* that is intentionally added or known to be a contaminant that comprises at least 0.01% by weight of the product. For products comprised of multiple parts that are mixed on site (multi-component products), this 0.01% ingredient threshold or any other similar threshold applies to the total weight of all parts added together (i.e., the combined parts).

**Intentional Introduction**. The act of deliberately using a material where its continued presence is desired in the final product to provide a specific characteristic, appearance, or quality. Intentional introduction does not include the use of the material as a processing aid or intermediate during manufacturing, where the presence of a residual of that material in the final product is not desired or deliberate.

**Interior.** A product formulated and intended for application on indoor surfaces. If a product is multipurpose (i.e., interior and *exterior* application), the stricter requirement applies, and the product must meet all the appropriate performance criteria.

**Intumescent Coating.** A type of *fire resistive coating* that reduces the spread of fire on combustible and non-combustible substrates through chemical reaction that causes the coating to swell and form a protective barrier. Must meet *VOC* limits for *fire resistive coatings*.

**Lacquer**. A clear or *transparent finish*, including clear lacquer sanding *sealers*, formulated with cellulosic or synthetic resins to dry by evaporation without chemical reaction and to provide a solid, protective film.

**Low-Solids Coating**. A *product* containing 120 grams or less of solids per liter (1 pound or less of solids per gallon) of *coating* material as recommended for application by the manufacturer. Solids are the non-volatile portion of the coating that remains after drying.

**Mutagen**. A chemical that meets the criteria for category 1, chemicals known to induce heritable mutations or to be regarded as if they induce heritable mutations in the germ cells of humans, under the Harmonized System for the Classification Of Chemicals Which Cause Mutations in Germ Cells (United Nations Economic Commission for Europe, Globally Harmonized System of Classification and Labeling of Chemicals).

**Non-Flat**. *Paint* or *coating* whose specular gloss registers 15 or greater on an 85-degree meter or 5 or greater on a 60-degree meter according to ASTM D523.

**Non-Elastomeric Reflective Wall Coatings.** Latex and thermoplastic *coatings* designed and intended for the modification of light and heat radiation characteristics with a DFT of 5 dry mils or greater.

33 The scope of this Standard does not exclude products labeled as Industrial Maintenance Coatings, but they must meet the requirements in this Standard for the product category whose function most closely aligns with them

meet the requirements in this Standard for the product category whose function most closely aligns with them, including functional performance, *VOC* limits, and prohibited chemicals. Note that CARB allows higher *VOC* levels in products labeled as Industrial Maintenance Coatings than for the product category whose function most closely aligns with them.

**Ozone-Depleting Compounds**. A compound with an ozone-depletion potential greater than 0.01 (CFC 11=1) according to the U.S. EPA list of Class I and Class II Ozone-Depleting Substances.

**Paint**. A type of pigmented *coating*.

**Penetrating.** Coating designed to penetrate the substrate without forming a surface film and without hiding the grain.

**Pigment**. A composition of dyes, colorants, or combinations that does not fully obscure the texture of the substrate when applied.

**Post-Consumer Content.** Material that would otherwise be destined for solid waste disposal, having completed its intended end-use and product life cycle. Post-consumer material does not include materials and by-products generated from, and commonly reused within, an original manufacturing and fabrication process.

**Primary Package.** Packaging material that physically contains and contacts the product, not including the cap or lid.

**Primer or Undercoat.** Coating that is intended for one or more of the following purposes: to provide a firm bond between the substrate and a subsequent coating; to prevent a subsequent coating from being absorbed into the substrate; to prevent harm to a subsequent coating from materials in the substrate, or to provide a smooth surface for application of a subsequent coating.

**Product Class.** Products that are formulated and labeled to perform similar performance functions on similar substrates. Coatings within the same class are intended for equivalent function and performance, e.g., similar levels of durability and similar dry film thicknesses.

**Recovered Material**. Material that has been diverted from the waste stream. Recovered material may include pre- and post-consumer material, cuttings, trimmings, obsolete inventories, and rejected unused stock, but does not include material capable of being re-used within the process that generated it.

**Reflective Roof Coating**. A non-bituminous *coating* intended for application to roofs for the primary purpose of reflecting ultraviolet light or reflecting solar radiation.

**Reproductive Toxin**. A chemical listed as a reproductive toxin (including developmental, female, and male toxins) by the State of California under the Safe Drinking Water and Toxic Enforcement Act of 1986 (California Code of Regulations, Title 22, Division 2, Subdivision 1, Chapter 3, Sections 1200, et. Seq., also known as Proposition 65).

**Sealer**. A *coating*, either *penetrating* or *film-forming*, that blocks materials from penetrating into or leaching out of a substrate.

**Shellac**. A clear or *pigmented finish* formulated with the resinous secretions of the lac beetle (*Laciffer lacca*), and formulated to dry by evaporation without a chemical reaction.

**Solvent.** The liquid portion of paints and coatings that dissolves the functional components and evaporates as the coating dries.

**Source-Reduced Package.** A package that has at least 20% less material (by weight) compared to containers commonly used for that product type. For bag-in-the-box type packages, the box is included in the weight if the box is used during product use.

**Stain**. A clear, *transparent*, or opaque *coating* intended to change the color of a surface but not conceal the grain pattern or texture. Stains can be either *penetrating* or *film-forming* and may include *toners* and *sealers*.

**Take-Back Program.** A company program that has been demonstrated to receive at least 50% of sold containers for recycling or reuse.

**Toner**. A *pigmented penetrating stain* intended for use on surfaces to produce a uniform *coating* that does not obscure the grain or the texture of the wood.

**Topcoat**. The outermost layer of a *paint* or *coating* system.

**Transparent**. A *pigmented coating* that does not fully obscure the surface texture of the substrate.

**Varnish**. A clear or *transparent finish*, excluding *lacquer* and *shellac*, formulated to dry by chemical reaction on exposure to air. Varnish may contain small amounts of *pigment* to color a surface or to control the final sheen or gloss of the *finish*.

**Volatile Aromatic Hydrocarbon**. Any hydrocarbon (comprising only H and C atoms) containing one or more 6-carbon benzene rings in the molecular structure with a boiling point of less than or equal to 250°C measured at 1 standard atmosphere (101.3 kPa).

**Volatile Organic Compound (VOC)**. Any organic compound which participates in atmospheric photochemical reactions as defined by the U.S. EPA in 40 CFR §51.100(s).<sup>34</sup> VOC Exempt Compounds, which are not considered as VOCs for the purposes of calculating VOC content, are those listed in 40 CFR §51.100(s).

**Water-Borne**. A *coating* that contains 5% or more water as the volatile constituent.

**Waterproofing Sealer.** A *coating* formulated for the primary purpose of preventing water from penetrating porous substrates.

**Waterproofing Concrete/Masonry Sealer.** A clear or pigmented sealer that is formulated for sealing concrete and masonry to provide resistance against water, alkalis, acids, ultraviolet light, or staining.

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<sup>&</sup>lt;sup>34</sup> The current version of this regulation can be found at <a href="http://www.ecfr.gov/cgi-bin/text-idx?SID=049f1f9562e072c158ad6e4a47d076a2&node=pt40.2.51&rgn=div5#se40.2.51">http://www.ecfr.gov/cgi-bin/text-idx?SID=049f1f9562e072c158ad6e4a47d076a2&node=pt40.2.51&rgn=div5#se40.2.51</a> 1100

# **APPENDIX 1 – SCOPE (Informative)**

Examples of products included in or excluded from the scope of GS-11:

## **Products Included in GS-11**

- Paints (interior and exterior)
- Wall and ceiling coatings
- Anti-corrosive coatings
- Floor paints
- Floor coatings
- Primers or undercoats
- Reflective roof coatings
- Reflective wall coatings (elastomeric and non-elastomeric)
- Fire resistive and intumescent coatings
- Concrete and masonry sealers
- Clear brushing lacquers
- Conjugated oil varnishes
- Finishes
- Lacquers
- Low-solids coatings
- Sealers
- Shellacs
- Stains
- Varnishes
- Hygienic wall coatings
- Decorative wall coatings
- Impact resistant wall coatings

### **Products Excluded from GS-11**

- Products sold in aerosol cans
- Industrial, marine, or automotive coatings
- Paint strippers
- Bituminous coatings
- Concrete curing compounds
- Anti-graffiti coatings
- Mastic texture coatings
- Reactive Penetrating Sealers (as defined by SCAQMD)
- Graphic arts coatings (Sign Paints)
- Recycled latex paint (covered in GS-43)
- Floor finish and finish strippers for industrial and institutional use (included in GS-40)
- Graffiti remover (included in GS-52 and GS-53)

# **APPENDIX 2 – CARB VOC LIMITS (Informative)**

# VOC CONTENT LIMITS FOR ARCHITECTURAL COATINGS

| Coating Catagory   | VOC Limits |
|--|------------|
| Coating Category   | g/L        |
| Flat Coatings  | 50         |
| Nonflat Coatings   | 100        |
| Nonflat - High Gloss Coatings  | 150        |
| Basement Specialty Coatings  | 400        |
| Concrete/Masonry Sealers   | 100        |
| Fire Resistive Coatings (GS-11: <i>Intumescent coatings</i> )  | 350        |
| Floor Coatings (GS-11: Floor paints)   | 100        |
| Low-Solids Coatings a  | 120        |
| Primers, Sealers, and Undercoaters   | 100        |
| Roof Coatings  | 50         |
| Rust Preventative Coatings (GS-11: Anti-corrosive coatings)  | 250        |
| Shellacs, Clear  | 730        |
| Shellacs, Opaque   | 550        |
| Stains   | 250        |
| Wood Coatings (GS-11: Includes sealers and water-proofing sealers labeled for use on wood or metal substrates) | 275        |

<sup>&</sup>lt;sup>a</sup> **LOW-SOLIDS COATINGS**. As per CARB, <sup>35</sup> the *VOC* content of *Low-Solids Coatings* (120 grams or less of solids per liter) is calculated differently, as *VOC* Actual, and shall meet the VOC limit specified here, rather than the VOC limit specified for its product category.

# **CARB CATEGORIES NOT INCLUDED IN GS-11**

| Aluminum Roof Coatings              | Mastic Texture Coatings        |
|-------------------------------------|--------------------------------|
| Bituminous Roof Coatings            | Metallic Pigmented Coatings    |
| Bituminous Roof Primers             | Multi-Color Coatings           |
| Bond Breakers                       | Pre-Treatment Wash Primers     |
| Concrete Curing Compounds           | Reactive Penetrating Sealers   |
| Driveway Sealers                    | Recycled Coatings              |
| Dry Fog Coatings                    | Stone Consolidants             |
| Faux Finishing Coatings             | Swimming Pool Coatings         |
| Form-Release Compounds              | Traffic Marking Coatings       |
| Graphic Arts Coatings (Sign Paints) | Tub and Tile Refinish Coatings |
| High Temperature Coatings           | Waterproofing Membranes        |
| Industrial Maintenance Coatings     | Wood Preservatives             |
| Magnesite Cement Coatings           | Zinc-Rich Primers              |

<sup>&</sup>lt;sup>35</sup> CARB Suggested Control Measures for Architectural Coatings, Table 1, VOC Content Limits for Architectural Coatings, <a href="https://ww2.arb.ca.gov/sites/default/files/2020-10/2007%20SCM.pdf">https://ww2.arb.ca.gov/sites/default/files/2020-10/2007%20SCM.pdf</a>

## **APPENDIX 3 – LIST OF TEST METHODS** (Informative)

ANSI/BHMA A156.18 American National Standard for Materials and Finishes

ASTM B117 Standard Practice for Operating Salt Spray (Fog) Apparatus

ASTM C67 Standard Test Method for Sampling and Testing Brick an Structural Clay Tile

ASTM C97 Standard Test Method for Absorption and Bulk Specific Gravity of Dimension Stone

ASTM C140 Standard Test Method for Sampling and Testing Concrete Masonry Units and Related Units

ASTM C1371 Standard Test Method for Determination of Emittance of Materials Near Room Temperature Using Portable Emissometers

ASTM C1549 Standard Test Method for Determination of Solar Reflectance Near Ambient Temperature Using a Portable Solar Reflectometer

ASTM D522 Standard Test Methods for Mandrel Bend Test of Attached Organic Coatings

ASTM D523 Standard Test Method for Specular Gloss

ASTM D523 Standard Test Method for Specular Gloss Paint

ASTM D714 Standard Test Method for Evaluating Degree of Blistering of Paints

ASTM D823 Standard Practices for Producing Films of Uniform Thickness of Paint, Varnish, and Related Products on Test Panels

ASTM D968 Standard Test Methods for Abrasion Resistance of Organic Coatings by Falling Abrasive

ASTM D1005 Standard Test Method for Measurement of Dry-Film Thickness of Organic Coatings Using Micrometers

ASTM D1186 Standard Test Methods for Nondestructive Measurement of Dry Film Thickness of Nonmagnetic Coatings Applied to a Ferrous Base

ASTM D1308 Standard Test Method for Effect of Household Chemicals on Clear and Pigmented Organic Finishes

ASTM D1400 Standard Test Method for Nondestructive Measurement of Dry Film Thickness of Nonconductive Coatings Applied to a Nonferrous Metal Base

ASTM D1640 Standard Test Methods for Drying, Curing, or Film Formation of Organic Coatings

ASTM D1735 Standard Practice for Testing Water Resistance of Coatings Using Water Fog Apparatus

ASTM D2047 Standard Test Method Static Coefficient of Friction

ASTM D2245 Standard Test Method for Identification of Oils and Oil Acids in Solvent-Reducible Paints.

ASTM D2247 Standard Practice for Testing Water Resistance of Coatings in 100 % Relative Humidity

ASTM D2369 Standard Test Method for Volatile Content of Coatings.

ASTM D2370 Standard Test Method for Tensile Properties of Organic Coatings

ASTM D2486 Standard Test Method for Scrub Resistance of Wall Paints

ASTM D2794 Standard Test Method for Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact)

ASTM D2805 Standard Test Method for Hiding Power of Paints by Reflectrometry

ASTM D3273 Standard Test Method for Resistance to Growth of Mold on the Surface of Interior Coatings in an Environmental Chamber

ASTM D3274 Standard Test Method for Evaluating Degree of Surface Disfigurement of Paint Films by Fungal or Algal Growth, or Soil and Dirt Accumulation

ASTM D3359 Standard Test Methods for Measuring Adhesion by Tape Test

ASTM D3363 Standard Test Method for Film Hardness by Pencil Test

ASTM D4060 Standard Test Method for Abrasion Resistance of Organic Coatings by the Taber Abraser

ASTM D4062 Standard Test Method for Leveling of Paints by Draw-Down Method

ASTM D4400 Standard Test Method for Sag Resistance of Paints Using a Multinotch Applicator

ASTM D4446 Standard Test Method for Anti-Swelling Effectiveness of Water-Repellent Formulations and Differential Swelling of Untreated Wood When Exposed to Liquid Water Environments

ASTM D4585 Standard Practice for Testing Water Resistance of Coatings Using Controlled Condensation

ASTM D4752 Standard Practice for Measuring MEK Resistance of Ethyl Silicate (Inorganic) Zinc-Rich Primers by Solvent Rub

ASTM D4828 Standard Test Method for Practical Washability of Organic Coatings

ASTM D5894 Standard Practice for Cyclic Salt Fog/UV Exposure of Painted Metal, (Alternating Exposures in a Fog/Dry Cabinet and a UV/Condensation Cabinet)

ASTM D6083 Standard Specification for Liquid Applied Acrylic Coating Used in Roofing.

ASTM D6886 Standard Test Method for Speciation of the Volatile Organic Compounds (VOCs) in Low VOC Content Waterborne Air-Dry Coatings by Gas Chromatography

ASTM D7073 Standard Guide for Application and Evaluation of Brush and Roller Applied Paint Films

ASTM D7088 Standard Practice for Resistance to Hydrostatic Pressure for Coatings Used in Below Grade Applications Applied to Masonry

ASTM D7234 Standard Test Method for Pull-Off Adhesion Strength of Coatings on Concrete Using Portable Pull-Off Adhesion Testers.

ASTM E-84 Standard Test Method for Surface Burning Characteristics of Building Materials

ASTM E96/E96M Standard Test Method for Water Vapor Transmission of Materials

ASTM E119 Standard Test Methods for Fire Tests of Building Construction and Materials

ASTM E1918 Standard Test Method for Measuring Solar Reflectance of Horizontal and Low-Sloped Surfaces in the Field

ASTM F710 Standard Practice for Preparing Concrete Floors to Receive Resilient Flooring.

ASTM G21 Standard Practice for Determining Resistance of Synthetic Polymeric Materials to Fungi

ASTM G151 Standard Practice for Exposing Nonmetallic Materials in Accelerated Test Devices that Use Laboratory Light Sources

ASTM G154 Standard Practice for Operating Fluorescent Ultraviolet (UV) Lamp Apparatus for Exposure of Nonmetallic Materials

ASTM G155 Standard Practice for Operating Xenon Arc Light Apparatus for Exposure of Non-Metallic Materials

ASTM STP500 Paint Testing Manual

EPA METHOD 24—Determination of volatile matter content, water content, density, volume solids, and weight solids of surface coatings

ISO 11890-1: Paints and varnishes -- Determination of volatile organic compound (VOC) content -- Part 1: Difference method

ISO 11890-2 Paints and varnishes -- Determination of volatile organic compound (VOC) content Part 2: GC/MS method

SCAQMD Method 304: Determination of volatile organic compounds (VOC) in various materials.

SCAQMD Method 313-91: Determination of volatile organic compounds (VOC) by gas chromatography/mass spectrometry (GC/MS)

SSPC-VIS 2 Standard Method of Evaluating Degree of Rusting on Painted Steel Surfaces

SSPC SP-13 Standard Method for Surface Preparation of Concrete.

UL 410 Slip Resistance of Floor Surface Materials